

02/14/01



02-15-01

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
UTILITY PATENT APPLICATION TRANSMITTAL  
UNDER 37 CFR 1.53(b)

1c973 U.S. PTO  
09/783410  
02/14/01

<b>Address to:</b>  Assistant Commissioner for Patents  Box Patent Application  Washington, DC 20231	<b>Attorney Docket No.</b>	ARC920000105US1
	<b>Inventor(s)</b>	KRAFT et al.
	<b>Express Mail Label No.</b>	EL147936389US
	<b>Total Pages</b>	59 (Not including references)

**Title of Application:**

**"SYSTEM AND METHOD FOR AUTOMATING ASSOCIATION  
OF RETAIL ITEMS TO SUPPORT SHOPPING PROPOSALS"**

*Transmitted with the patent application are the following:*

- |    |            |  |
|----|------------|--|
| 1  | Page(s)    | Transmittal form (and one copy)  |
| 39 | Page(s)    | Specification, claims, abstract  |
| 6  | Page(s)    | Formal Drawings  |
| 2  | Page(s)    | Declaration and Power of Attorney  |
| 1  | Page(s)    | Recordation of Assignment  |
| 2  | Page(s)    | Assignment of the Invention to International Business Machines Corporation |
| 4  | Page(s)    | IDS and Form 1449A   |
| 11 | References | Cited in Form 1449A  |
| 1  | Page(s)    | Return Receipt Postcard (MPEP 503)   |
| 1  | Page(s)    | Check No. 1115 to cover the filing fee                                     |
| 1  | Page(s)    | Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)                  |

**This application is a:** ☐ Continuation ☐ Divisional ☐ Continuation-in-Part of prior application Serial No. \_\_\_\_\_

**Fee Calculation**

	Claims		Extra	Rate	Fees
Basic Fee					\$ 710.00
Total Claims	20	-20 =	0	× \$18.00	\$ 0.00
Independent Claims	3	- 3 =	0	× \$80.00	\$ 0.00
Multiple Dependent Claim					
				Assignm	\$ 40.00
				TOTAL	\$750.00

The Commissioner is hereby authorized to credit overpayments or charge fees required under 37 CFR 1.16 or 1.17 to Deposit Account No. 50-0219. Duplicate sheet attached.

EXPRESS MAIL CERTIFICATE

I hereby certify that the above paper/fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, DC 20231

Date of Deposit: 02/14/2001

Person mailing paper/fee: Samuel A. Kassatly

Signature \_\_\_\_\_

Respectfully submitted,  
**KRAFT, Reiner et al.**

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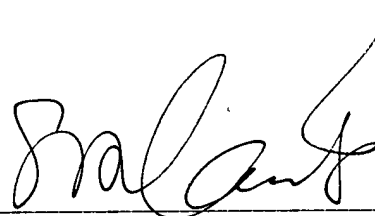
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor	KRAFT, Reiner
	Title	Method and System for Using Access Patterns
	Atty Docket Number	ARC920000105US1

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

02/14/2001

Date



Signature

Samuel A. Kassatly

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

02/14/2001